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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

March 15, 2002

Charles T. Rettner and Barry C. Stipe

Group Art Unit: 2651

Serial No.: 10/026,029

Examiner: Unknown

Filing Date: 12/18/2001

San Jose, California

Title: OPTICAL APERTURE FOR DATA RECORDING HAVING
TRANSMISSION ENHANCED BY SURFACE PLASMON RESONANCE

PRELIMINARY AMENDMENT

Box Patent Application
Commissioner for Patents
Washington, DC 20231

RECEIVED

MAY 13 2002

Technology Center 2600

Dear Sir:

Please amend the above-referenced application as indicated below. No new matter is
being added.

IN THE DRAWINGS:

Please amend FIGURES 2, 7, 9A, 9B, 11A, 11B, 12A, 12B, 14A, 14B as indicated in the
enclosed "Request for Approval of Drawing Changes".

IN THE SPECIFICATION:

Please amend the specification using the replacement paragraphs given in Appendixes A
(clean version) and B (marked-up version).

ARC920010114US1

S/N 10/026,029

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